

RHB Investment Bank Berhad 19663-P
(A Participating Organisation of Bursa Malaysia Securities Berhad)
(A Trading Participant of Bursa Malaysia Derivatives Berhad)
Level 10, Tower One, RHB Centre, Jalan Tun Razak, 50400 Kuala Lumpur, Malaysia.
Tel: +603 9285 2233 Fax: +603 9284 7658

For Office Use Only	
Client's CIF No.	
Spouse / Joint Applicant's CIF No.	
Date Updated	

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INSTRUCTIONS FOR COMPLETION OF APPLICATION FORM																																	
 ✓ Tick where applicable. Certified true copy of supporting documents shall be submitted by clients when updating their particulars with RHB Investment Bank Bhd ("RHBIB") 																																	
CLIENT PARTICULARS (Compulsory)																																	
Existing Name	Т				Π				Т	Т	Ī	T	T	Π	Г			Ī	Т		Т					一	一		1	T	T	T	ī
(As per NRIC/Passport/Business Registration)																																	_
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TYPE OF ACCOUNT (Please select the account(s) that require changes)																																	
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☐ Equities / CDS Trading Account																						_											
☐ Margin Account																																	
☐ Derivatives Trading Account																																	_
Others, please specify:												_																					
☐ INDIVIDUAL / CORPORATE DATA	Pleas	se fi	ll in v	wher	e ne	CESS	arvì																										_
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New Name (As per NRIC/Passport/Business Registration)																										\dashv	_						-
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Bank Account Details: Bank Name																										$\overline{}$	\neg	1	1	1	1		٦
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FOR INDIVIDUAL ONLY (Please fill i	n where necessary																				
1. Personal Data																					
Salutation	□ Datuk		☐ Da	itin			Dr. Others, please specify														
Nationality	☐ Malaysian		☐ Ot	hers: C	Counti	y of (Origin														
Marital Status	■ Married	ed	☐ Wi	idowed	l																
Employment / Business	☐ Employed	usiness	☐ Ot	hers, p	lease	spec	ify														
Name of Employer / Company																					
Malaysian Permanent Resident (For foreigners only)	Yes	□ No																			
Designation											Υ	ears	in E	mplo	ymo	ent ,	/ Bu	ısine	es:		
Address of Employer / Company													Po	stco	de			<u> </u>			
Current Annual Income	RM20,000 an		_	RM20,001 – RM40,000					RM40,00	RM60,001 - RM100,000											
	RM100,001 -	RM250,000	RM	250,001 -	· RM500,	000		RM500,001 – RM1,000,000 Above RM1,000,00							000						
Estimated Net Worth	RM50,000 an	d Below RM1,000,000	_	50,001 - 1,000,00			0		RM100,001 - RM200,000 RM200,001 - RM500,000 Above RM3,000,000												
Source of Funds	☐ Employme	nt ease specify	☐ Bu	ısiness				investr	vestment Returns												
2. Spouse / Joint Applicant Data		, ,																			
Relationship with Account Holder	☐ Spouse		☐ Joi	nt Appl	icant, ¡	olease	spe	cify ı	relatio	nship											
Name																			L		
(As per NRIC / Passport)									01		<u> </u>		<u> </u>	<u> </u>	<u></u>	<u></u>	느	¥	느	<u> </u>	
New NRIC / Passport No. Salutation	∐ Mr	□ □ □ □ Ms			atuk			Dato		I NRIC	No] Datin	L			Dr		<u></u>		<u> </u>		
Satutation	_	_		Ш В	utuk		Ш.	Dato			, Datin				וט						
Nationality Malaysian Permanent Resident (For foreigners only)	☐ Others, please specify ☐ Malaysian ☐ Others: Country of Origin ☐ Yes ☐ No																				
Employment / Business	☐ Employed	Own Bi	ısiness	□ 0	thers,	pleas	e spe	cify													
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Designation											Υ	ears	in E	mplo	ymo	ent /	/ Bu	sine	:SS		
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FOR CORPORATE ONLY (Please fil	l in where necessar	ry)	l			<u> </u>					<u> </u>									1	
Type of Organisation	Sole Pro	prietor*	F	Public C	ompan	у			Gover	nment	/ Gove	rnme	ent L	ink A	∖ger	1су /	/ Co	mpa	ıny		
	☐ Partnersh	nip*	□ P	rivate C	ompar	ıy			Society	/ / Asso	ociatio	n									
	Others, p																				
Nature of Ownership	Domestic Banking Institution (Commercial Banks / Islamic Banks / Investment Bank)							stitution Micro / Small / Medium)													
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*Only for Derivatives	roreign iv	Same Entit							J (11013	, picus	Sopeci	· y							-		

■ DELIVERY OF CONTRACT NOTES / STATEMENTS / TRADE NOTIFICATIONS Contract Notes / Statements (please tick one (1) only for each product) Trade Notification¹ **Type of Products** SMS **Fmail Fmail** Post² (No. of Contract Notes³) **Equities Trading Account** copy(ies) \Box Margin Account copy(ies) **Derivatives Trading Account** N/A Other, please specify: 1. Subject to the availability of the facility. 2. Contract Notes / Statements will be sent to client's correspondence address. 3. Only one (1) copy for individual clients. Max. two (2) copies for corporate client. FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA) i) U.S. INDICIA DECLARATION FOR INDIVIDUAL Do you possess any of the following U.S. indicia? If yes, please indicate. П № ☐ Yes 1. U.S. citizen/tax resident? (U.S. passport/green card holder, U.S. taxpayer, etc.) 2. U.S. place of birth? □ No ☐ Yes ☐ Yes ПΝο 3. U.S. address? (residence / mailing / P.O. Box) ☐ Yes П № 4. U.S. telephone number? 5. Standing instructions to pay amounts from RHB Investment Bank ("RHBIB") account to an account maintained in the U.S. П № ☐ Yes ii) FATCA DECLARATION I / We have been briefed and I / we confirm I / we understand the FATCA requirements. I / We hereby declare that I am / we are Non-U.S Individual(s) with U.S. Indicia / U.S. Individual(s) / ■ Non-U.S. Legal Entity(ies) ☐ U.S. Legal Entity(ies) Non U.S. Individual(s) with no U.S. Indicia (provide Form W-8)1 (provide Form W-9)2 If there is any update to the account information / FATCA status, I / we hereby agree to notify and furnish RHBIB with the relevant documentary evidence within 30 days of such change. I / We consent to and authorize RHBIB to perform any of the following, if applicable:-1. Withhold any applicable payments in the account Report or disclose all relevant information relating to or arising from the account Terminate (with prior notice, if applicable) my / our contractual relationship(s) with RHBIB 1) Client who is Non U.S. Individual with U.S. Indicia to provide Form W-8BEN. Client who is Non U.S. Legal Entity(ies) is to provide Form W-8BEN-E from the Effective Date as stipulated by 2) Client who is U.S. Individual to provide Form W-9. Client who is U.S. Legal Entity(ies) is to provide Form W-9 from the Effective Date as stipulated by U.S. IRS. **DECLARATION**

Declaration for Updating of Client's Particulars

I/We declare that all particulars and information given in this *Updating of Client's Particulars Form* are true and correct and that I/we have not withheld any material facts or information from RHBIB. RHBIB is entitled to fully rely on such information for all purposes, unless RHBIB receives notice in writing from me/us informing otherwise. I/We hereby undertake to furnish RHBIB with such additional particulars as RHBIB may require at any time and also undertake to inform RHBIB of any changes with regard to the particulars stated herein from time to time.

Declaration for Changes in Mode of Delivery

In consideration of you agreeing to my request for the issuance and delivery of contract notes/statements by way of electronic or online devices, and any other notices issued by you from time to time, I/we hereby accept and assume the risks associated with the transfer of documents/information by way of electronic or online devices and/or delivery, including delays or failure in the transmission due to breakdown or failure of transmission or traffic congestion of communications or any other cause(s) beyond your control or anticipation and/or inherent risks in receiving electronic contract notes/statements. I/We understand the risks involved in communication over the internet and/or electronic communication channel. I/We shall not dispute or challenge the validity, enforceability or admissibility of any such record and the contents therein. In the event of systems failure, I/we consent to receive the contract notes/statements via post or such other means as you deem fit and appropriate.

I/We also agree that this instruction shall be effective until revoked by me/us by giving you a newly executed form superseding this form. I/We also understand that you may cancel this email delivery service without providing any reason and/or prior notice to me/us.

I/We acknowledge that any contract notes/statements sent to me/us, whether by e-mail or by post, if sent to my/our address as given to you herein shall be deemed to be duly served on me/us within the applicable period provided for in the agreement after it is posted and/or if sent by e-mail, on the day such communication was made.

DECLARATION (CONTINUED)						
I/We shall assume all responsib request. I/We further agree to ir fines, charges and expenses whic	ndemnify	you and hold you ha	rmless from and against	all actions, proceedings, clai	ms, demands, losse	es, damages, costs, penalties
Signature of Client (Individual) / Authorised Signatory (Corporate		:		Signature of Joint Applicant (If applicable)	t: :	
Name of Client / Authorised Sign	natory	:		Name of Joint Applicant	:	
NRIC / Passport No. of Authorise Signatory (Corporate)	ed	:		Date	:	
Designation of Authorised Signa (Corporate)	tory	:				
Date		:		Please affix corporation sta (for Corporate Client)	:	
FOR OFFICE USE ONLY						
		Signature	Name	Des	signation	Date
Verified by (if applicable)	:					
Approved by (if applicable)	:					
Updated by	:					
Checked by	:					